



**Abt Associates Inc.  
REPRESENTATIONS AND CERTIFICATIONS**

Offeror/Seller must complete all applicable sections of this Representations and Certifications form. Failure to furnish the following certifications may be cause for rejection of Offeror's/Seller's bid(s) or proposals(s) or otherwise be deemed as non-responsive, which may result in Offeror/Seller being ineligible for award. Please do not leave blanks; use "N/A," where the requested information may not pertain.

NOTICE: Information provided on this form is used in selection of suppliers and for reporting to the Federal Government. The United States may impose criminal and civil penalties and remedies for misrepresentations for the purpose of obtaining a subcontract. (FAR 52.219-9(e) (4))

**PART A - GENERAL BUSINESS INFORMATION  
THIS PART PERTAINS TO ALL SOLICITATIONS/PROCUREMENTS**

**1) FIRM NAME:**

ADDRESS:

CITY:

STATE:

ZIP CODE:

COUNTRY:

TELEPHONE NO.:

FAX NUMBER:

EMAIL ADDRESS:

COMPANY WEBSITE:

**2) ELECTRONIC COMPLETION OF ANNUAL REPRESENTATIONS AND CERTIFICATIONS ON WWW.SAM.GOV**

The offeror has completed annual representations and certifications to the U/S. Government electronically via the SAM Web site accessed through [www.sam.gov](http://www.sam.gov). After reviewing the SAM database information, the offeror verifies by submission of this offer that the representations and certifications to the U.S Government currently posted electronically are equally applicable to this solicitation, have been entered or updated within the last 12 months, are current, accurate, complete, and may be accepted by Abt Associates in lieu of this completed form.

**3) FEDERAL TAX I.D. NO.:**

**4) DUNS NUMBER:**

**5) BANK REFERENCE (Name, Address):**

**6) INSURANCE COMPANY (Name, Address):**

**7) CAGE CODE:**

**8) PRIMARY 6-DIGIT NORTH AMERICAN INDUSTRY CLASSIFICATION SYSTEM CODE:**

<http://www.census.gov/epcd/www/naicstab.htm>

**9) ANNUAL SALES: \$**

**10) NUMBER OF EMPLOYEES:**

**11) TYPE OF BUSINESS ORGANIZATION**

a) Number of years in business:

b) The Offeror/Seller represents that it operates as a(n):

Individual

Partnership

Non-Profit Organization

Foreign business established outside the US and its possessions

Corporation and is incorporated under the laws of the state of:

c) The Offeror/Seller provides:      Materials/Supplies      Professional Services      Or Both

**12) Description of Materials and/or Services that the Offeror/Seller provides:**



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<b>13) PARENT COMPANY</b>		
a) The Offeror/Seller represents that it <input type="checkbox"/> IS <input type="checkbox"/> IS NOT owned or controlled by a Parent Company. For this purpose, a Parent Company is defined as that corporate entity that owns or controls an affiliated group of corporations that files its Federal income tax returns on a consolidated basis, and of which the Offeror/Seller is a member.		
b) If the Offeror/Seller is owned or controlled by a Parent Company, enter the following information of the Parent Company:		
NAME:		TIN:
ADDRESS:		
PHONE:	FAX:	
EMAIL:	URL:	
a) Is Parent Company's information the same as information for Firm Name of Offeror/Seller?		YES      NO
<b>14) SYSTEM APPROVALS</b>		
<b>a) ACCOUNTING SYSTEM:</b>		
Do you have a Government Approved Accounting System?		YES      NO
Date of Approval, if known:		
Cognizant Government Audit Agency:		
Address:		
<b>b) PROPERTY SYSTEM:</b>		
Do you have a Government Approved Property Management System?		YES      NO
Date of Approval, if known:		
Approving Agency:		
<b>c) PURCHASING SYSTEM:</b>		
Do you have a Government Approved Purchasing System?		YES      NO
Date of Approval, if known:		
Approving Agency:		
<b>15) CORPORATE SUSTAINABILITY</b>		
Does your company currently have any environmental sustainability efforts under way (e.g., water conservation, energy efficiency, waste minimizations)? <input type="checkbox"/> YES <input type="checkbox"/> NO		
If yes, please list and provide a brief summary.		
<b>16) SOCIOECONOMIC STATUS</b>		
Government statutes require periodic review of our files to ensure that we have correctly recorded our supplier's self-certification of business size, status, and compliance with socioeconomic programs. (see "Definitions" below) Should you need assistance in determining your status in any of the categories listed below, please call the U.S. Government Small Business Administration office serving your area.		
The Offeror/Seller represents that it is a <b>(check all that apply)</b> :		
<input type="checkbox"/>	Large Business	<input type="checkbox"/>
<input type="checkbox"/>	Small Business	<input type="checkbox"/>
<input type="checkbox"/>	Small Disadvantaged Business	<input type="checkbox"/>
<input type="checkbox"/>	Woman-Owned Small Business	<input type="checkbox"/>
<input type="checkbox"/>	Veteran-Owned Small Business	<input type="checkbox"/>
<input type="checkbox"/>	Service-Disabled Veteran-Owned Small Business	<input type="checkbox"/>
		HUBZone Small Business*
		HUBZone Joint Venture*
		Historically Black College or University/Minority Institution
		Indian Tribe
		Alaskan Native Corporation (ANC)
		*Attach the confirming screen print from the SBA's HUBZone area at <a href="http://www.sba.gov">http://www.sba.gov</a> .
<b>17) MINORITY OWNERSHIP</b>		
If Offeror/Seller has represented itself in Section 15 above as a Small Disadvantaged Business, please check the appropriate category of ownership (FAR 52.219-1 Alt. I): <b>(Check only one)</b>		
<input type="checkbox"/>	Black American	
<input type="checkbox"/>	Native American	



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	Hispanic American
	Subcontinent Asian (Asian-Indian) American
	Asian-Pacific American
	Individual/Concern, other than one of the proceeding: Explain
<b>NOTICE:</b>	
<p>Under 15 U.S.C. 645(d), any person who misrepresents a firm's status as a small, HUBZone small, small disadvantaged, or women-owned small business concern in order to obtain a contract to be awarded under the preference programs established pursuant to section 8(a), 8(d), 9, or 15 of the Small Business Act or any other provision of Federal law that specifically references section 8(d) for a definition of program eligibility, shall—</p> <ul style="list-style-type: none"> <li>(i) Be punished by imposition of fine, imprisonment, or both;</li> <li>(ii) Be subject to administrative remedies, including suspension and debarment, and</li> <li>(iii) Be ineligible for participation in programs conducted under the authority of the Act. (FAR 52.219-1(d)(2)).</li> </ul>	
<b>18) WOMEN-OWNED BUSINESS OTHER THAN SMALL BUSINESS (FAR 52.204-5)</b>	
<p>(a) "Women-owned business concern," as used in this provision, means a concern that is at least 51 percent owned by one or more women, or in the case of any publicly owned business, at least 51 percent of its stock is owned by one or more women, and whose management and daily business operations are controlled by one or more women.</p>	
<p>(b)[Complete only if the Offeror/Seller is a women-owned business concern and has not represented itself as a small business concern in Part A, Item 15 of this Form.] The Offeror/Seller represents that it _____ is a women-owned business concern.</p>	
<b>DEFINITIONS:</b>	
<p>"Alaska Native Corporation (ANC)" means any Regional Corporation, Village Corporation, Urban Corporation, or Group Corporation organized under the laws of the State of Alaska in accordance with the Alaska Native Claims Settlement Act, as amended (43 U.S.C. 1601, <i>et seq.</i>) and which is considered a minority and economically disadvantaged concern under the criteria at 43 U.S.C. 1626(e)(1). This definition also includes ANC direct and indirect subsidiary corporations, joint ventures, and partnerships that meet the requirements of 43 U.S.C. 1626(e)(2).</p> <p>"HUBZone Small Business Concern" as used in this clause, means a small business concern that appears on the List of Qualified HUBZone Small Business Concerns maintained by the Small Business Administration.</p> <p>"HUBZone Joint Venture Concern" means a joint venture that complies with the requirements of 13 CFR Part 126 and the representation in paragraph 12 of this document and the above definition is accurate of the HUBZone small business concern or concerns that are participating in the joint venture. This Offeror/Seller shall enter the name or names of the HUBZone small business concern or concerns that are participating in the joint venture: Each HUBZone small business concern participating in the joint venture shall submit a separate signed copy of the HUBZone representation.</p> <p>"Historically Black College or University" means an institution determined by the Secretary of Education to meet the requirements of 34 CFR 608.2. For the Department of Defense, NASA, and the Coast Guard, the term also includes any nonprofit research institution that was an integral part of such a college or university before November 14, 1986.</p> <p>"Minority Institution" means an institution of higher education meeting the requirements of Section 1046(3) of the Higher Education Act of 1965 (20 U.S.C. 1067k, including a Hispanic-serving institution of higher education as defined in Section 316(b)(1) of the Act (20 U.S.C. 1101a)).</p> <p>"Indian Tribe" means any Indian tribe, band, group, pueblo, or community, including native villages and native groups (including corporations organized by Kenai, Juneau, Sitka, and Kodiak) as defined in the Alaska Native Claims Settlement Act (43 U.S.C.A 1601 <i>et seq.</i>), that is recognized by the Federal Government as eligible for services from the Bureau of Indian Affairs in accordance with 25 U.S.C. 1452(c). This definition also includes Indian-owned economic enterprises that meet the requirements of 25 U.S.C. 1452(e).</p> <p>"Service-Disabled Veteran-Owned Small Business Concern" means (1) a small business concern for which (i) Not less than 51 percent of the stock is owned by one or more service-disabled veterans or, in the case of any publicly owned business, not less than 51 percent of the stock is owned by one or more service-disabled veterans; and (ii) The management and daily business operations are controlled by one or more service-disabled veterans or, in the case of a service-disabled veteran with permanent and severe disability, the spouse or permanent caregiver of such veteran.</p> <p>"Small Business Concern" means a concern, including its affiliates, that is independently owned and operated, not dominant in the field of its operation in which it is bidding, and qualified as a small business under the criteria and in 13 CFR part 121 and size standard identified by the NAICS Code in Section 7 above (FAR 52.219-1).</p>	



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“Small Disadvantaged Business Concern” means a small business concern that (1) has received certification as a small disadvantaged business concern consistent with 13 CFR part 124, Subpart B, (2) no material change in disadvantaged ownership and control has occurred since its certification, (3) is owned by one or more individuals, the net worth of each individual upon whom the certification is based does not exceed \$750,000 after taking into account the applicable exclusions set forth at 13 CFR 124.104 (c)(2), and (4) it is identified, on the date of its representation, as a certified small disadvantaged business in the database maintained by the Small Business Administration (PRO-Net).

“Veteran-Owned Small Business Concern” means a small business concern which is at least 51 percent owned by one or more veterans (as defined at 38 U.S.C. 101(2)) or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more veterans, and the management and daily business operations of which are controlled by one or more veterans.

“Woman-Owned Small Business Concern” means a small business concern which is at least 51 percent owned by one or more women, or in the case of any publicly owned business, at least 51 percent of the stock of which is owned by one or more women, and whose management and daily business operations are controlled by one or more women.

**19) ROYALTY INFORMATION CERTIFICATION (FAR 52.227-6)**

Offeror/Seller certifies royalty or license fee costs ARE ARE NOT contemplated to be included in **ANY** Offer submitted. When Offeror/Seller indicates royalty of license fees “ARE NOT” contemplated above, Offeror/Seller agrees to notify Buyer when any solicitation response contains such costs.

**20) BUY AMERICAN ACT CERTIFICATE (FAR 52.225-2)**

*(Applicable only if the Abt Associates Inc. solicitation contains the clause at FAR 52.225-1, “Buy American Act-Supplies”)* The Offeror/Seller certifies that each end product, except those listed below, is a domestic end product (as defined in the clause of the Abt Associates Inc. solicitation entitled “Buy American Act-Supplies”), and that the Offeror/Seller has considered components of unknown origin to have been mined, produced, or manufactured outside the United States. The Offeror/Seller shall list as foreign end products those end products manufactured in the United States that do not qualify as domestic end products.

Foreign End Products	Country of Origin

**PART B  
THIS PART PERTAINS TO ALL SOLICITATIONS/PROCUREMENTS OVER \$10,000**

**1) EQUAL OPPORTUNITY (FAR 52.222-26)**

The Offeror/Seller represents that it is in agreement with the subject clause and the Executive Order 11236, as amended, and the rules, regulations, and Orders of the Secretary of Labor pertaining to Equal Opportunity.

**2) AFFIRMATIVE ACTION COMPLIANCE (FAR 52.222-25)**

The Offeror/Seller represents that:

- a) It HAS HAS NOT developed an affirmative action program as required by the rules and regulations of the Secretary of Labor (41 CFR 60-1 and 60-2)
- b) It HAS HAS NOT previously had contracts subject to the written affirmative action programs requirement of the rules and regulations of the Secretary of Labor.
- c) It HAS HAS NOT fewer than fifty (50) employees.

**3) PREVIOUS CONTRACTS AND COMPLIANCE REPORTS (FAR 52.222-22)**

The Offeror/Seller represents that:

- a) It HAS HAS NOT participated in a previous contract or subcontract subject to the Equal Opportunity clause of any solicitation/procurement (FAR 52.222-26).
- b) It HAS HAS NOT filed all required compliance reports.
- c) Please indicate the types of required compliance reports, submitted to the Government:

**PART C  
THIS PART PERTAINS TO ALL SOLICITATIONS/PROCUREMENTS OVER \$30,000**

**1) PROTECTION THE GOVERNMENT’S INTEREST WHEN SUBCONTRACTING WITH CONTRACTORS DEBARRED, SUSPENDED, OR PROPOSED FOR DEBARMENT (FAR 52.209-6)**

- a) The Offeror/Seller certifies that it or its principals ARE ARE NOT debarred, suspended, or proposed for debarment by the Federal Government.
- b) The Offeror/Seller shall provide Abt Associates Inc. immediate notice in the event of being suspended, debarred, or declared ineligible to receive awards from **ANY** Federal Agency.



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**2) CERTIFICATE OF INDEPENDENT PRICE DETERMINATION (FAR 52.203-2)**

- a)** The offeror certifies that –
- (1) The prices in **ALL** offers have been arrived at independently, without, for the purpose of restricting competition, any consultation, communication, or agreement with any other offeror or competitor relating to—
    - (i) Those prices;
    - (ii) The intention to submit an offer; or
    - (iii) The methods or factors used to calculate the prices offered.
  - (2) The prices in ALL offers have not been and will not be knowingly disclosed by the offeror, directly or indirectly, to any other offeror or competitor before bid opening (in the case of a sealed bid solicitation) or contract award (in the case of a negotiated solicitation) unless otherwise required by law; and
  - (3) No attempt has been made or will be made by the offeror to induce any other concern to submit or not to submit an offer for the purpose of restricting competition.
- b)** Each signature on the offer is considered to be a certification by the signatory that the signatory—
- (1) Is the person in the offeror’s organization responsible for determining the prices being offered in **ALL** bids or proposals, and that the signatory has not participated and will not participate in any action contrary to paragraphs (a)(1) through (a)(3) of this provision or
    - (2) (i) Has been authorized, in writing, to act as agent for the following principals in certifying that those principals have not participated, and will not participate in any action contrary to paragraphs (a)(1) through (a)(3) of this provision—  
*[insert full name of person(s) in the offeror’s organization responsible for determining the prices offered in this bid or proposal, and the title of his or her position in the offeror’s organization];*
    - (ii) As an authorized agent, does certify that the principals named in subdivision (b)(2)(i) of this provision have not participated, and will not participate, in any action contrary to paragraphs (a)(1) through (a)(3) of this provision; and  
 As an agent, has not personally participated, and will not participate, in any action contrary to paragraphs (a)(1) through (a)(3) of this provision.
- c)** If the offeror deletes or modifies paragraph (a)(2) of this provision, the offeror must furnish with its offer a signed statement setting forth in detail the circumstances of the disclosure.

**PART D  
THIS PART PERTAINS TO ALL SOLICITATIONS/PROCUREMENTS OVER \$100,000**

**1) CERTIFICATION REGARDING DEBARMENT, SUSPENSION, PROPOSED DEBARMENT, AND OTHER RESPONSIBILITY MATTERS (FAR 52.209-5)**

- a)** (1) The Offeror/Seller certifies, to the best of its knowledge and belief, that –
- (i) The Offeror/Seller and/or any of its Principals—
    - (A) ARE ARE NOT presently debarred, suspended, proposed for debarment, or declared ineligible for the award of contracts by any Federal agency;
    - (B) HAVE HAVE NOT, within the three-year period preceding this offer, been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, state, or local) contract or subcontract; violation of Federal or state antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, or receiving stolen property;
    - (C) ARE ARE NOT presently indicted for, or otherwise criminally or civilly charged by a governmental entity with, commission of any of the offenses enumerated in paragraph (a)(1)(i)(B) of this provision; and
  - (ii) The Offeror/Seller HAS HAS NOT within a three-year period preceding this offer, had one or more contracts terminated for default by any Federal agency.
- (2) “Principals,” for the purposes of this certification, means officers; directors; owners; partners; and, persons having primary management or supervisory responsibilities within a business entity (e.g., general manager; plant manager; head of a subsidiary, division, or business segment, and similar positions).

THIS CERTIFICATION CONCERNS A MATTER WITHIN THE JURISDICTION OF AN AGENCY OF THE UNITED STATES AND THE MAKING OF A FALSE, FICTITIOUS, OR FRAUDULENT CERTIFICATION MAY RENDER THE MAKER SUBJECT TO PROSECUTION UNDER SECTION 1001, TITLE 18, UNITED STATES CODE.

- a) The Offeror/Seller shall provide immediate written notice to Abt Associates Inc. if, at any time prior to contract award, the Offeror/Seller learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- b) A certification that any of the items in paragraph (a) of this provision exists will not necessarily result in withholding of an award under this solicitation. However, the certification will be considered in connection with a determination of the Offeror/Seller’s responsibility. Failure of the Offeror/Seller to furnish a certification or provide such additional information as requested by Abt Associates Inc. may render the Offeror/Seller non-responsible.
- c) Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render, in good faith, the certification required by paragraph (a) of this provision. The knowledge and information of an Offeror/Seller is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- d) The certification in paragraph (a) of this provision is a material representation of fact upon which reliance was placed when



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making award. If it is later determined that the Offeror/Seller knowingly rendered an erroneous certification, in addition to the other remedies available to it, Abt Associates Inc. may terminate the contract resulting from this solicitation for default.

### 2) CERTIFICATION AND DISCLOSURE REGARDING PAYMENTS TO INFLUENCE CERTAIN FEDERAL TRANSACTIONS (FAR 52.203-11)

- a) Definitions.** As used in this provision—"Lobbying contact" has the meaning provided at 2 U.S.C. 1602(8). The terms "agency," "influencing or attempting to influence," "officer or employee of an agency," "person," "reasonable compensation," and "regularly employed" are defined in the FAR clause of this solicitation entitled "Limitation on Payments to Influence Certain Federal Transactions" (52.203-12).
- b) Prohibition.** The prohibition and exceptions contained in the FAR clause of this solicitation entitled "Limitation on Payments to Influence Certain Federal Transactions" (52.203-12) are hereby incorporated by reference in this provision.
- c) Certification.** The offeror, by signing its offer, hereby certifies to the best of its knowledge and belief that no Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on its behalf in connection with the awarding of this contract.
- d) Disclosure.** If any registrants under the Lobbying Disclosure Act of 1995 have made a lobbying contact on behalf of the offeror with respect to this contract, the offeror shall complete and submit, with its offer, OMB Standard Form LLL, Disclosure of Lobbying Activities, to provide the name of the registrants. The offeror need not report regularly employed officers or employees of the offeror to whom payments of reasonable compensation were made.
- e) Penalty.** Submission of this certification and disclosure is a prerequisite for making or entering into this contract imposed by 31 U.S.C. 1352. Any person who makes an expenditure prohibited under this provision or who fails to file or amend the disclosure required to be filed or amended by this provision, shall be subject to a civil penalty of not less than \$10,000, and not more than \$100,000, for each such failure.

### 3) CERTIFICATION OF TOXIC CHEMICAL RELEASE REPORTING (FAR 52.223-13)

- a) Executive Order 13148, of April 21, 2000, Greening the Government through Leadership in Environmental Management, requires submission of this certification as a prerequisite for contract award.
- b) By signing this offer, the offeror certifies that—
- (1) As the owner or operator of facilities that will be used in the performance of this contract that are subject to the filing and reporting requirements described in section 313 of the Emergency Planning and Community Right-to-Know Act of 1986 (EPCRA) (42 U.S.C. 11023) and section 6607 of the Pollution Prevention Act of 1990 (PPA) (42 U.S.C. 13106), the offeror will file and continue to file for such facilities for the life of the contract the Toxic Chemical Release Inventory Form (Form R) as described in sections 313(a) and (g) of EPCRA and section 6607 or PPA; or
  - (2) None of its owned or operated facilities to be used in the performance of this contract is subject to the Form R filing and reporting requirements because each such facility is exempt for at least one of the following reasons: [ *Check each block that is applicable.*]
    - (i) The facility does not manufacture, process, or otherwise use any toxic chemicals listed in 40 CFR 372.65;
    - (ii) The facility does not have 10 or more full-time employees as specified in section 313(b)(1)(A) of EPCRA, 42 U.S.C. 11023(b)(1)(A);
    - (iii) The facility does not meet the reporting thresholds of toxic chemicals established under section 313(f) of EPCRA, 42 U.S.C. 11023 (f) (including the alternate thresholds at 40 CFR 372.27, provided an appropriate certification form has been filed with EPA);
    - (iv) The facility does not fall within the following Standard Industrial Classification (SIC) codes or their corresponding North American Industry Classification System sectors:
      - a) Major group code 10 (except 1011, 1081, and 1094).
      - b) Major group code 12 (except 1241).
      - c) Major group codes 20-39.
      - d) Industry code 4911, 4931, 4939 (limited to facilities that combust coal and/or oil for the purpose of generating power for distribution in commerce).
      - e) Industry code 4953 (limited to facilities regulated under the Resource Conservation and Recovery Act, Subtitle C (42 U.S.C. 6921, *et seq.*) or 5169, or 5171, or 7389 (limited to facilities primarily engaged in solvent recovery services on a contract or fee basis); or
    - (v) The facility is not located in the United States or its outlying areas.

### 4) CONTINGENT FEE REPRESENTATION AND AGREEMENT (FAR 52.203-5)





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(a) The Contractor warrants that no person or agency has been employed or retained to solicit or obtain this contract upon an agreement or understanding for a contingent fee, except a bona fide employee or agency. For breach or violation of this warranty, the Government shall have the right to annul this contract without liability or, in its discretion, to deduct from the contract price or consideration, or otherwise recover, the full amount of the contingent fee.

(b) "Bona fide agency," as used in this clause, means an established commercial or selling agency, maintained by a contractor for the purpose of securing business, that neither exerts nor proposes to exert improper influence to solicit or obtain Government contracts nor holds itself out as being able to obtain any Government contract or contracts through improper influence. "Bona fide employee," as used in this clause, means a person, employed by a contractor and subject to the contractor's supervision and control as to time, place, and manner of performance, who neither exerts nor proposes to exert improper influence to solicit or obtain Government contracts nor holds out as being able to obtain any Government contract or contracts through improper influence. "Contingent fee," as used in this clause, means any commission, percentage, brokerage, or other fee that is contingent upon the success that a person or concern has in securing a Government contract. "Improper influence," as used in this clause, means any influence that induces or tends to induce a Government employee or officer to give consideration or to act regarding a Government contract on any basis other than the merits of the matter.

**5) COMPLIANCE WITH VETERANS' EMPLOYMENT REPORTING REQUIREMENTS (FAR 52.222 -38)**

By submission of its offer, the Offeror/Seller represents that, if it is subject to the reporting requirements of 38 U.S.C. 4 212(d) (i.e., if it has any contract containing Federal Acquisition Regulation clause 52.222-37, Employment Reports on Special Disabled Veterans, Veterans of the Vietnam Era, and Other Eligible Veterans), it has submitted the most recent VETS -100 Report required by that clause.

**6) REPRESENTATION OF EXTENT OF TRANSPORTATION BY SEA (DFAR 252.247-7022)**

The Offeror/Seller represents that it:  
 Does anticipate that supplies will be transported by sea in the performance of any contract or subcontract resulting from this solicitation.  
  
 Does not anticipate that supplies will be transported by sea in the performance of any contract or subcontract resulting from this solicitation.

**PART E  
THIS PART PERTAINS TO ALL SOLICITATIONS/PROCUREMENTS OVER \$750,000**

**1. COST ACCOUNTING STANDARDS NOTICES AND CERTIFICATIONS (FAR 52.230-1) Note: This notice does not apply to small businesses or foreign governments.**

This notice is in three parts, identified by Roman numerals I through III. Offerors/Sellers shall examine each part and provide the requested information in order to determine Cost Accounting Standards (CAS) requirements applicable to any resultant contract.

If the Offeror/Seller is an educational institution, Part II does not apply unless the contemplated contract will be subject to full or modified CAS coverage pursuant to 48 CFR 9903.201-2 (c)(5) or 9903.201-2(c)(6), respectively.

**I. DISCLOSURE STATEMENT – COST ACCOUNTING PRACTICES AND CERTIFICATION**

(a) Any contract in excess of \$750,000 resulting from this solicitation will be subject to the requirements of the Cost Accounting Standards Board (48 CFR Chapter 99), except for those contracts which are exempt as specified in 48 CFR 9903.201-1.

(b) Any Offeror/Seller submitting a proposal which, if accepted, will result in a contract subject to the requirements of 48 CFR Chapter 99 must, as a condition of contracting, submit a Disclosure Statement as required by 48 CFR 9903.202. The Disclosure Statement must be submitted as part of the Offeror's/Seller's proposal under this solicitation unless the Offeror/Seller has already submitted a Disclosure Statement disclosing the practices used in connection with the pricing of this proposal. If an applicable Disclosure Statement has already been submitted, the Offeror/Seller may satisfy the requirement for submission by providing the information requested in paragraph (c) of Part I of this provision



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**CAUTION: In the absence of specific regulations or agreement, a practice disclosed in a Disclosure Statement shall not, by virtue of such disclosure, be deemed to be a proper, approved, or agreed-to practice for pricing proposals or accumulating and reporting contract performance cost data.**

(c) Check the appropriate box below:

**1) Certificate of Concurrent Submission of Disclosure Statement.**

The Offeror/Seller hereby certifies that, as a part of the offer, copies of the Disclosure Statement have been submitted as follows:

- (i)  Original and one copy to the cognizant Administrative Contracting Officer (ACO) or cognizant Federal agency official authorized to act in that capacity (Federal official), as applicable; and
- (ii)  One copy to the cognizant federal auditor.

(Disclosure must be on Form No. CASB DS-1 or CASB DS-2, as applicable. Forms may be obtained from the cognizant ACO or Federal official and/ or from the loose-leaf version of the FAR.)

Date of Disclosure Statement:

Name and Address of Cognizant ACO or Federal Official Where Filed:

The Offeror/Seller further certifies that the practices used in estimating costs in pricing this proposal are consistent with the cost accounting practices disclosed in the Disclosure Statement.

**2) Certificate of Previously Submitted Disclosure Statement.**

The Offeror/Seller hereby certifies that the required Disclosure Statement was filed as follows:

Date of Disclosure Statement:

Name and Address of Cognizant ACO or Federal Official Where Filed:

The Offeror/Seller further certifies that practices used in estimating costs in pricing this proposal are consistent with the cost accounting practices disclosed in the applicable Disclosure Statement.

**3) Certificate of Monetary Exemption.**

The Offeror/Seller hereby certifies that the Offeror/Seller, together with all divisions, subsidiaries, and affiliates under common control, did not receive net awards of negotiated prime contracts and subcontracts subject to CAS totaling more than \$50 million or more in the cost accounting period immediately preceding the period in which this proposal was submitted. The Offeror/Seller further certifies that if such status changes before an award resulting from this proposal, the Offeror/Seller will advise the Abt Associates Inc. Procurement Officer immediately.

**4) Certificate of Interim Exemption.**

The Offeror/Seller hereby certifies that:

- (i) the Offeror/Seller first exceeded the monetary exemption for disclosure, as defined in (3) of this subsection, in the cost accounting period immediately preceding the period in which this offer was submitted and
- (ii) in accordance with 48 CFR 9903.202-1, the Offeror/Seller is not yet required to submit a Disclosure Statement. The Offeror/Seller further certifies that if an award resulting from this proposal has not been made within 90 days after the end of that period, the Offeror/Seller will immediately submit a revised certificate to the Abt Associates Inc. Procurement Officer, in the form specified under subparagraph (c)(1) or (c)(2) of Part I of this provision, as appropriate, to verify submission of a completed Disclosure Statement.

**CAUTION: Offerors /Sellers currently required to disclose because they were awarded a CAS-covered prime contract or subcontract of \$50 million or more in the current cost accounting period may not claim this exemption (4). Further, the exemption applies only in connection with proposals submitted before expiration of the 90-day period following the cost accounting period in which the monetary exemption was exceeded.**

**II. COST ACCOUNTING STANDARDS – ELIGIBILITY FOR MODIFIED CONTRACT COVERAGE**

If the Offeror/Seller is eligible to use the modified provisions of 48 CFR 9903.201-2(b) and elects to do so, the Offeror/Seller shall indicate by checking the box below. Checking the box below shall mean that the resultant contract is subject to the Disclosure and Consistency of Cost Accounting Practices clause in lieu of the Cost Accounting Standards clause.

The Offeror/Seller hereby claims an exemption from the Cost Accounting Standards clause under the provisions of 48 CFR 9903.201-2(b) and certifies that the Offeror/Seller is eligible for use of the Disclosure and Consistency of Cost Accounting Practices clause because during the cost accounting period immediately preceding the period in which this proposal was submitted, the Offeror/Seller received less than \$50 million in awards of CAS-covered prime contracts and subcontracts. The Offeror/Seller further certifies that if such status changes before an award resulting from this proposal, the Offeror/Seller will advise the Abt Associates Inc. Procurement Officer immediately.

**CAUTION: An Offeror/Seller may not claim the above eligibility for modified contract coverage if this proposal is expected to result in the award of a CAS-covered contract of \$50 million or more or if, during its current cost accounting period, the Offeror/Seller has been awarded a single CAS-covered prime contract or subcontract of \$50 million or more.**





**Abt Associates Inc.  
REPRESENTATIONS AND CERTIFICATIONS**

<b>III. ADDITIONAL COST ACCOUNTING STANDARDS APPLICABLE TO EXISTING CONTRACTS</b>	
The Offeror/Seller shall indicate below whether award of the contemplated subcontract would, in accordance with subparagraph (a)(3) of the Cost Accounting Standards clause at FAR 52.230-2, require a change in established cost accounting practices affecting existing contracts and subcontracts.	
YES	NO
<b>PART F THIS PART PERTAINS TO ALL SOLICITATIONS/PROCUREMENTS</b>	
<b>ACKNOWLEDGEMENT:</b>	
a) By signing below, the Offeror/Seller agrees to the foregoing and attests that the Representations and Certifications set forth in Parts A, B, C, D, E, and F hereto are current, accurate, and complete. Further, if Offeror's/Seller's status certified herein should change prior to any award based on this certification, the Offeror/Seller shall immediately notify, in writing, the person/office to whom this original certification was sent and submit an amended certification with any related data that may be required as a result of change.	
b) I hereby acknowledge an understanding of the U.S. Government contracting and subcontracting programs and confirm the accuracy of the statements made in this document.	
c) The Offeror/Seller's business organization to use electronic signatures as legally binding.	PERMITS      DOES NOT PERMIT authorized Offeror/Seller representatives
d) The Offeror/Seller binding.	RESIDES      DOES NOT RESIDE in a legal jurisdiction that accepts electronic signatures as legally
If a printed document is being submitted, a physical signature is required as provided for below.      If this document is being completed and submitted electronically, either an electronic signature or the use of the Attestation block at the bottom may be used to fulfill the signatory requirement of this document.	
FIRM NAME:	
SIGNATURE OR ELECTRONIC SIGNATURE:	
TYPED NAME:	
TITLE:	
DATE:	
<b>ATTESTATION:</b> By submitting this certification, I, _____, am attesting to the accuracy of the Representations and Certifications contained herein. I understand that I may be subject to penalties if I misrepresent any of the above representations or certifications to Abt Associates and/or the U.S. Government.	